



SELECTED FEDERAL SMALL BUSINESS MENTOR PROTÉGÉ PROGRAMS

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The purpose of this document is to provide a brief description of mentor protégé programs in 7 federal agencies based on selected criteria.

The federal agencies include:

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The information in this document is from agency websites and Title 48 of the Code of Federal Regulations and the Federal Aviation Administration's Acquisition Management System (AMS) .

Mentors have the chance to get into set-aside contracting with limitations on subcontracting opportunities. Proteges can bid on larger contracts

Please refer to each sponsoring agency to get more details.

Agency	NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (NASA)
Phone Number	David Brock, Program Manager 256-544-7768
Submission Cycle	Open
Protégé Eligibility	1.Small Disadvantaged Businesses (SDBs) 2. Woman-Owned Small Businesses (WOSBs) 3.Historically Underutilized Business Zone (HUBZone) concerns 4.Veteran-Owned Small Businesses (VOSBs) 5.Service-Disabled Veteran–Owned Small Businesses (SDVOSBs) 6.Historically Black College or University (HBCUs) 7.Minority Institutions 8.Small businesses with an active NASA Small Business Innovation Research (SBIR) or Small Business Technology Transfer (STTR) Phase II contract 9.Companies participating in the Ability One program
# of Mentor (s)	Protégé One
Mentor Eligibility	Must be a large prime contractor performing under NASA contracts with at least one active and approved subcontracting plan, as required by FAR 19.7. Must be eligible to receive Federal contracting awards; and must apply and be approved as a mentor by the NASA Office of Small Business Programs. Mentors must establish initial eligibility by submitting their mentor application for approval.
# of Protégé (s)	Mentor is not limited
Incentives/Benefits	Increase access to government contracting opportunities.
Affiliation	An SBA “determination of affiliation” is not addressed.
Types of Agreement	1. Credit Agreement: One-to-one basis toward subcontracting goals. 2. Award Fee Program: a mentor is eligible to receive an award fee at the end of the agreement period based upon the mentor's performance in providing developmental assistance to its protégé. Only NASA Small Business Innovation Research (SBIR) or Small Business Technology Transfer (STTR) Phase II protégés are eligible to participate with mentors.
Agreement Length	36 months
Developmental Assistance	Prior to filling out the agreement template, the Mentor must perform a needs assessment of the Protégé to determine what type of developmental assistance is required. Typical examples of developmental assistance may be related to technical transfer or business infrastructure. The dollar value associated with the technical transfer tasks should be approximately 70 percent of the proposed hours and cost. The remaining 30 percent may be related to business development tasks.
Cost Reimbursement	Yes. SBIR/STTR only applicants
Application	Go website
Website	https://www.nasa.gov/osbp/mentor-protege-program#participation

Agency	SMALL BUSINESS ADMINISTRATION (SBA) ALL SMALL BUSINESS
Phone Number	Contact your district office (DFW (817) 684-5500 or sbamp@ sba.gov)
Submission Cycle	Open
Protégé Eligibility	Be a small business with industry experience. You can find out if your business qualifies as small by using the Size Standards Tool, or by referencing SBA's table of small business size standards. Both the tool and the table help you find the small business classification requirements according to individual NAICS codes. Be organized for profit or as an agricultural cooperative. Have a proposed mentor prior to applying for the program.
# of Mentor (s)	2 or less.
Mentor Eligibility	Be organized for profit or as an agricultural cooperative. Be able to carry out its responsibilities to assist the protégé. Possess good character. Not appear on the federal list of debarred or suspended contractors. Be able to impart value to a protégé firm due to lessons learned and practical experience gained or through its knowledge of general business operations and government contracting.
# of Protégé (s)	Undetermined
Affiliation	An applicant protégé and its prospective mentor may not be affiliated at the time of application. See website for further information.
Incentives/Benefits	Increase government contracting opportunity. Have the chance to get into set-aside contracting with limitations on subcontracting opportunities.
Joint Venture	Businesses who have a mentor-protégé relationship can form a joint venture and can compete together for government contracts reserved for small businesses, service-disabled veteran-owned, women-owned, or HUBZone businesses — as long as the protégé qualifies for the contract. The joint venture must perform the appropriate percentage of work based on the subcontracting requirements.
Agreement Length	May last up to six years.
Developmental Assistance	Management and Technical Assistance - This type of assistance might include help with internal business. Financial Assistance - This type of assistance might take the form of equity investments, loans or bonding. Contracting - This type of assistance might include navigating the contracting process, understanding your obligations as a government contractor, or developing your capability to compete for government contracts. International Trade Education - This type of assistance might include learning how to export, developing an international business plan, or identifying which international markets are right for your business. Business Development - This type of assistance might include help with strategic planning, identifying potential new markets for your business, or finding new contracting and partnership opportunities. Administrative - This type of assistance might include help with general business processes, or other administrative support.
Cost Reimbursement	No
Application	See website
Website	SBA Mentor-Protégé program

Agency	DEPARTMENT OF ENERGY (DOE)
Phone Number	202-586-7377 or by email at SmallBusiness@hq.doe.gov
Submission Cycle	Open
Protégé Eligibility	Small disadvantaged businesses (SDB) certified by SBA under section 8(a) of the Small Business Act (8(a)), other small disadvantaged businesses, women-owned small businesses (WOSB), service-disabled veteran-owned small businesses (SDVOSB), veteran-owned small businesses (VOSB), historically underutilized business zone (HUBZone) small businesses, historically black colleges and universities (HCBUs), and other minority institutions of higher learning to enhance their capabilities and improve their abilities to perform contracts and subcontracts for the DOE and other federal agencies. In business for two years.
# of Mentor (s)	One
Mentor Eligibility	A prime contractor performing at least one active DOE Contract.
# of Protégé (s)	Multiple
Affiliation	An SBA “determination of affiliation” is not addressed.
Incentives/Benefits	Opportunity to award non-competitive subcontracts to Protégés or another DOE Protégés in the program. Reimbursement of allowable costs incurred while providing assistance to the Protégé performing subcontract activities. Under cost-plus-award contracts Mentor may earn award fees associated with Mentoring. Receive credit for subcontracts awarded pursuant to MPP Agreement(s) toward subcontracting goals contained in their subcontracting plan.
Agreement Length	TBD
Developmental Assistance	(1) Management guidance relating to—(i) Financial management,(ii) Organizational management, (iii) Overall business management planning, (iv) Business development, and(v) Marketing assistance; (2) Engineering and other technical assistance; (3) Noncompetitive award of subcontracts under DOE or other Federal contracts where otherwise authorized; (4) Award of subcontracts in the Mentor's commercial activities; (5) Progress payments based on costs; (6) Rent-free use of facilities and/or equipment owned or leased by Mentor; and (7) Temporary assignment of Mentor personnel to the Protégé for purposes of training. (b) Costs incurred by a Mentor to provide developmental assistance, as described in paragraph (a) of this section, are allowable only to the extent provided at 919.7003(b).
Cost Reimbursement	Costs incurred by a Mentor to provide developmental assistance, as described in 919.7011, are allowable only to the extent that they are incurred in performance of a contract identified in the Mentor-Protégé Agreement and are otherwise allowable in accordance with the cost principles applicable to that contract.
Application	See website
Website	https://www.energy.gov/osdbu/osdbu-programs-home/mentor-protege-program

Agency	FEDERAL AVIATION ADMINISTRATION (FAA)
Phone Number	Lelanie Rivera at (206) 231-3021 or via email at lelanie.rivera@faa.gov
Submission Cycle	Open
Protégé Eligibility	A broad base of firms including SB, HBCU, MI, SEDB, SDB, SDVOSB and WO small businesses that are high-tech, aviation oriented entities whose core competencies support the FAA mission to provide a safe, secure, and efficient global aerospace system that contributes to national security; and Protégés in addition to firms with whom they have established business relationships.
# of Mentor (s)	Multiple.
Mentor Eligibility	Large or small firms eligible for receipt of federal contracts.
# of Protégé (s)	Multiple.
Affiliation	For qualifying as a small business as defined in the Acquisition Management System (AMS), a protégé firm may not be considered an affiliate of a mentor firm solely on the basis that the protégé firm is receiving developmental assistance referred to in Section 1.12 under the program. However, affiliation may be found for other reasons in accordance with the SBA general principles of affiliation.
Incentives/Benefits	Mentors may receive additional evaluation points (for Mentor-Protégé Program participation) toward the award of contracts during the evaluation of competitive offers. Mentors may receive credit toward attaining subcontracting goals contained in their FAA subcontracting plan(s) for Mentor-Protégé participation.
Agreement Length	Mutually agreed upon.
Developmental Assistance	Management guidance related to- Financial management, Organizational management, Overall business management/planning and, Business development, Engineering and other technical assistance; Rent-free use of facilities and/or equipment; and Temporary assignment of personnel to the protégé firm for training.
Cost Reimbursement	Costs incurred by a mentor to provide developmental assistance (i.e., technical or managerial) described in Section 1.12 are allowable as indirect costs (appropriate documentation shall be provided) unless the contract contains a line item specifically for the Mentor-Protégé Program. A ceiling on allowable developmental costs shall be established at time of contract award. Procurements may be reserved exclusively for competition among firms that are participants in the FAA Mentor-Protégé Program.
Application	http://www.sbo.faa.gov/MentorProtege.cfm
Website	https://www.sbo.faa.gov/mentorprotege.cfm

Agency	<u>U.S. DEPARTMENT OF HOMELAND SECURITY (DHS)</u>
Phone Number	202 447 0104 sharon.davis@hq.dhs.gov
Submission Cycle	Open
Protégé Eligibility	All small businesses that meet the definition of small business concern at FAR 19.001, based on their primary NAICS code, are eligible to be protégé firms. This includes small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.. All firms must be in good standing in the federal marketplace. The program excludes firms that are on the Federal List of Debarred or Suspended Contractors.
# of Mentor (s)	Multiple.
Mentor Eligibility	Open to any large business firm that demonstrates the commitment and capability to assist in the development of small business protégés All firms must be in good standing in the federal marketplace. The program excludes firms that are on the Federal List of Debarred or Suspended Contractors.
# of Protégé (s)	Multiple.
Affiliation	A protégé will not be considered an affiliate of a mentor solely on the basis that the protégé has or will receive developmental assistance from the mentor under the program.
Incentives/Benefits	Mentor may receive additional evaluation points toward the award of contracts during the evaluation of competitive offers. Mentor may receive subcontracting credit on a dollar for dollar basis by counting protégé developmental assistance, as appropriate, for approved subcontracting plans. Mentors have the chance to get into set-aside and mentor protégé agreement contracting with limitations on subcontracting opportunities.
Agreement Length	36 months
Developmental Assistance	(a) Management guidance related to (1) Financial management (2) Organizational management (3) Overall business management/planning (4) Business development (b) Technical assistance; (c) Rent-free use of facilities and/or equipment; (d) Temporary assignment of personnel to the protégé for the purpose of training; (e) Property; (f) Loans; and (g) Any other types of mutually beneficial assistance.
Cost Reimbursement	No
Application	Mentor-Protege Program Details 2018 (dhs.gov)
Website	https://www.dhs.gov/mentor-protege-program

Agency	U.S DEPARTMENT OF TRANSPORTATION (US DOT)
Phone Number	(202) 366-1930 mentorprotege@dot.gov
Submission Cycle	Open
Protégé Eligibility	A protégé should be a Small Business (SB), HUB Zone, small disadvantaged business, women-owned small business, veteran-owned small business, or service-disabled veteran-owned small business. In addition, the protégé should be able to show that it is currently eligible for Federal contracting opportunities, is not under a suspension or debarment action, and is not in the EPLS database. Protégés may have multiple mentors.
# of Mentor (s)	Multiple
Mentor Eligibility	The mentor can be a business that has graduated from the 8(a) Business Development program, a firm in the transitional stage of the program, or a small or large business. In addition, the mentor should be able to show that it is currently eligible for Federal contracting opportunities, is not under a suspension and/or debarment action and is not in the EPLS database.
# of Protégé (s)	Multiple
Affiliation	Not addressed program documents
Incentives/Benefits	Mentors can develop long-term relationships with potential subcontractors that have critical skills. These skills can be used to complement or diversify their company while competing for federal contracts. A good mentor-protégé relationship builds trust and loyalty between the mentor and protégé. Mentor-Protégé arrangements may provide the Government with greater assurance that a protégé subcontractor will be able to perform better under the contract than a similarly situated non-protégé subcontractor. Develop innovative approaches and technology. Potential Joint Venture with protégé. Goodwill and corporate responsibility.
Agreement Length	36 months
Developmental Assistance	The forms of developmental assistance a mentor may provide to a protégé include: Management or technical assistance. Overall business management/planning. Cooperation on joint venture projects. Any other types of mutually beneficial assistance.
Cost Reimbursement	No
Application	http://www.osdbu.dot.gov/Procurement/mentor-protege.cfm https://cms.dot.gov/small-business/procurement-assistance/mentor-protege-program-sample-agreement
Website	https://www.transportation.gov/osdbu/procurement-assistance/mentor-protege-pilot-program

Agency	<u>U.S. DEPARTMENT OF DEFENSE (DOD)</u>
	(571) 372-6191 Kasey Diaz osd.pentagon.ousd-atl.mbx.dod-mentor-protége-program@mail.mil
Submission Cycle	Credit Open; Direct Reimbursed: Broad Agency Announcement (BAA) for cognizant DOD component.
Protégé Eligibility	(A) a small business concern owned and controlled by socially and economically disadvantaged individuals; (B) a business entity owned and controlled by an Indian tribe; (C) a business entity owned and controlled by a Native Hawaiian Organization; (D) a qualified organization employing severely disabled individuals; (E) a small business concern owned and controlled by women; (F) a small business concern owned and controlled by service-disabled veterans; (G) a qualified HUBZone small business concern; or (H) a small business concern that — (i) is a nontraditional defense contractor; or (ii) currently provides goods or services in the private sector that are critical to enhancing the capabilities of the defense supplier base and fulfilling key Department of Defense needs
# of Mentor (s)	One
Mentor Eligibility	Must be currently performing under at least one active approved subcontracting plan negotiated with DoD or another Federal agency pursuant to FAR 19.702 and be currently eligible for the award of Federal contracts.
# of Protégé (s)	TBD
Type of Agreement	Direct Reimbursed: Directly reimbursed agreements are those in which a mentor receives reimbursement for allowable costs of developmental assistance provided to a protégé. Credit Only/Hybrid: Credit agreements are those in which a mentor receives a multiple of credit toward their Small Disadvantaged Business (SDB) subcontracting goal based on the cost of developmental assistance provided to a protégé.
Affiliation	No determination of affiliation or control (either direct or indirect) may be found between a protégé firm and its mentor firm on the basis that the mentor firm has agreed to furnish (or has furnished) to its protégé firm, pursuant to a mentor-protégé agreement, any form of developmental assistance described in I-107(f)
Incentives/Benefits	Reimbursement for developmental assistance costs through or Credit toward applicable subcontracting goals, established under a subcontracting plan negotiated under FAR Subpart 19.7 or under the DoD Comprehensive Subcontracting Test Program, for developmental assistance costs that are not reimbursed.
Agreement Length	36 months
Developmental Assistance	1. General business management, including organizational management, financial management, and personnel management, marketing, business development, and overall business planning; (ii) Engineering and technical matters such as production inventory control and quality assurance; and (iii) Any other assistance designed to develop the capabilities of the protégé firm under the developmental program. 2) Award of subcontracts under DoD contracts or other contracts on a noncompetitive basis. (3) Payment of progress payments for the performance of subcontracts by a protégé firm in amounts as provided for in the subcontract; . (4) Advance payments under such subcontracts. (5) Loans. (6) Investment(s) in the protégé firm not to exceed 10 percent. Historically Back colleges and universities. (iv) Minority institutions of higher education.
Application	https://business.defense.gov/Programs/Mentor-Protége-Program/MPP-Resources/
Website	https://business.defense.gov/Programs/Mentor-Protége-Program/How-to-Participate/